



PATENT Docket No.: Q112-US1

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan Ruth et al.

Application No.: 09/842,790

Filing Date:

April 25, 2001

Title: LITHIUM ION BATTERY SUITABLE FOR HYBRID

ELECTRIC VEHICLES

Group Art Unit:

1741

Examiner: N/A

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TC 1700

CERTIFICATE OF MAILING VIA CERTIFIED FIRST CLASS MAIL (37 CFR 1.8) Dated May 1, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

I hereby certify that the following attached documents are being deposited with the United States Postal Service as first class certified mail under 37 CFR 1.8 on the date indicated above and are addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

- 1. Transmittal Letter for Information Disclosure Statement (in duplicate)
- 2. PTO Form 1449 with copy of references
- 3. Self addressed stamped return postcard

May 1, 2002 Date of Deposit

Lisa K. Robbins

Name of Person Mailing paper or fee

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97/00 of 1.57/00) | | | Docket No. Q112-US1 | | |
|---|---------------------------------|----------|------------------------|--|--|
| In Re Application Of: Alan Ruth et al. MAY 0 9 2002 | | | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | | |
| 09/842,790 | April 25, 2001 | N/A | 1741 | | |
| Title: LITHIUM ION BATTERY SUITABLE FOR HYBRID ELECTRIC VEHICLES ORIGINALLY MARCHAERS | | | | | |
| Address to: Assistant Commissioner for Patents Washington, D.C. 20231 | | | | | |
| 37 CFR 1.97(b) | | | | | |
| 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | | |
| 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | |
| ☐ the stater | ment specified in 37 CFR 1.97(e | | | | |
| | OR | HEC | EIVED | | |
| ☐ the fee so | et forth in 37 CFR 1.17(p). | MAY 1 | 4 2002 | | |
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| TRANSMITTAL O | Docket No. Q112-US1 | | | | |
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| In Re Application: Alan Ruth et al. MAY 0 9 2002 Serial No. Filing Date Examiner Group Art Unit | | | | | |
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| 09/842,790 | April 25, 2001 | N/A | 1741 | | |
| LITHIUM ION E | BATTERY SUITABLE FOR HY | YBRID ELECTRIC VEHICLI | COPY OF PAPERS ORIGINALLY FILED | | |
| | Payr | ment of Fee | | | |
| (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) | | | | | |
| The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0921 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F (Date) Signature Signature of Parce Mailing Commissioner for Patents, Washington, D.C. 20231. | | | g by First Class Mail and fee is being deposited with the U.S. Postal Service r 37 C.F.R. 1.8 and is | | |
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| leg. No. 38,402 | ary Elizabeth Bush g. No. 38,402 | | 4 2002 | | |
| Puallion LLC Legal Depart 2744 San Fernando Road, .O. Box 923127 ylmar, CA 91392-3127 | | IC 1 | 700 | | |
| c: | | | | | |